

**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of )  
Jennifer Mead, et al. ) Group Art Unit: 2761  
Serial No. 09/255,737 ) Examiner: TBA  
Filed: February 23, 1999 ) Atty. Dkt. No. 03797.77744

**For: SYSTEM AND METHOD FOR PROVIDING  
AUTOMATED INVESTMENT ALERTS  
FROM MULTIPLE DATA SOURCES**

**INFORMATION DISCLOSURE STATEMENT**

The Assistant Commissioner  
for Patents  
Washington, D.C. 20231

Sir:

In accordance with 37 C.F.R. §§ 1.97 and 1.98, enclosed is a PTO Form-1449 listing art for consideration by the Examiner. The reference listed in the 1449 form was an internet address (www.etrade.com) publicly available in some version before the filing date. However, applicants have no further details on the internet address at this time. It is believed no fee is required to make this a complete and timely filing. However, if a fee is required, please charge our Deposit Account No. 19-0733.

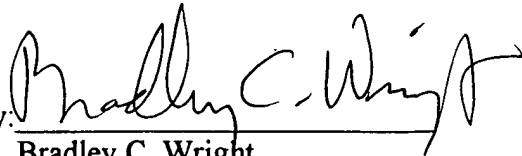
Consideration of this information is respectfully requested.

The submission of the listed documents is not intended as an admission that any such documents constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any

listed documents as a competent reference against the claims of the present application.

Respectfully submitted,

Date: July 6, 1999

By:   
Bradley C. Wright  
Registration No. 38,061

Banner & Witcoff, Ltd.  
1001 G Street, N.W., Eleventh Floor  
Washington, D.C. 20001-4597  
(202) 508-9100

BCW/pb

<b>PTO-1449 (Modified)</b>  <b>U.S. DEPARTMENT OF COMMERCE</b> <b>PATENT AND TRADEMARK OFFICE</b>  <b>INFORMATION DISCLOSURE STATEMENT</b> <b>BY APPLICANT</b>	<b>ATTY. DOCKET NO.</b> 3797.77744	<b>SERIAL NUMBER</b> 09/255,737
	<b>APPLICANT</b> Jennifer Mead, et al.	
	<b>FILING DATE</b> 02/23/99	<b>GROUP ART UNIT</b> 2761

**U.S. PATENT DOCUMENTS**

<u>EXAMINER</u> INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE

**FOREIGN PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES/NO	

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**

	www.etrade.com, some version of which was publicly available before the filing date

<b>EXAMINER</b>	<b>DATE CONSIDERED</b>
<b>EXAMINER:</b> Initial citation if reference was considered. Draw line through citation if not in conformance to MPEP 609 and not considered. Include copy of this form with next communication to applicant.	

RECEIVED

MAY 05 2006

GROUP 3600

**Patent/Design**

☒ PATENT ☐ DESIGN

☐ HAND CARRY Group/Section

Bldg \_\_\_\_\_ Rm \_\_\_\_\_

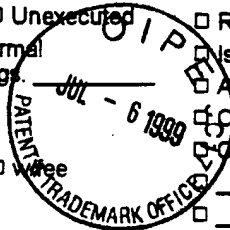
Serial No. 09/255,737 B&W # 3797.77744 Atty/Sec P18/BCW/pb Date 7-6-99

Inventor Mead, et al. Client Microsoft

Title System and Method For providing Automated... Multiple Data Sources

The following has been received in the U.S. Patent and Trademark Office on the date stamped hereon:

- |   |   |
|---|---|
| <input type="checkbox"/> total pp Spec., including : # of Claims _____<br>(# of independent claims _____); <input type="checkbox"/> Abstract                                      | <input type="checkbox"/> Claim for Priority w/Priority Doc _____<br>Country, Appl. # and Date         |
| <input type="checkbox"/> Foreign Priority on _____<br>Country, Appl. # and Date   | <input type="checkbox"/> Petition for Extension til _____   |
| <input type="checkbox"/> Priority on U.S. Prov. _____ B&W# _____  | <input type="checkbox"/> Amendment <input type="checkbox"/> Response : OA dtd _____                   |
| <input type="checkbox"/> Application : <input type="checkbox"/> CIP <input type="checkbox"/> Continuation <input type="checkbox"/> Divisional<br>Parent Ser. No. _____ B&W# _____ | <input type="checkbox"/> Request for Approval of Drawing Changes                                      |
| <input type="checkbox"/> Provisional App _____ pp Spec/Cims; Cover Sheet  | <input type="checkbox"/> CPA Request <input type="checkbox"/> Ext of Time : OA dtd _____              |
| <input type="checkbox"/> Declaration/PoA : <input type="checkbox"/> Executed <input type="checkbox"/> Unexecuted  | <input type="checkbox"/> Notice of Appeal & Fee   |
| <input type="checkbox"/> Drawings : <input type="checkbox"/> Formal <input type="checkbox"/> Informal<br># of distinct sheets _____ : Figs. _____                                 | <input type="checkbox"/> Brief : <input type="checkbox"/> Appeal & Fee <input type="checkbox"/> Reply |
| <input type="checkbox"/> Assignment w/PTO Cover Sheet   | <input type="checkbox"/> Request for Oral Hearing   |
| <input type="checkbox"/> Small Entity Declaration   | <input type="checkbox"/> Issue Fee : Allowance dtd _____  |
| <input checked="" type="checkbox"/> IDS w/PTO 1449 <input checked="" type="checkbox"/> Prior Art <input type="checkbox"/> w/fee   | <input type="checkbox"/> Advance Patent Copies : # ordered _____                                      |
| <input type="checkbox"/> Preliminary Amendment  | <input type="checkbox"/> Check # _____ for \$ _____   |
| <input type="checkbox"/> Response : Missing Parts dtd _____   | <input type="checkbox"/> Check # _____ for \$ _____   |
| <input type="checkbox"/> Request for Expedited Foreign Filing License   | _____   |
| <input type="checkbox"/> Request for Corrected Filing Receipt   | _____   |



B&W Rev. 4/99